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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/656,911

Filing Date September 4, 2003

First Named Inventor Jeffery A. Whiteford

Group Art Unit 1774

Examiner Name Unassigned

Attorney Docket Number 40-003300US

ENCLOSURES (check all that apply)						
Fee Transmittal Form		Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Affidavits/declara		Petition to Convert to a Provisional Application		Status Letter		
Extension of Time Requi	st	Power of Attorney, Revocation Change of Correspondence Address	X	Additional Enclosure(s) (please identify below):		
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X Information Disclosure S		Small Entity Statement		Search Report and receipt acknowledgment postcard		
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Incomplete Application	Rem	narks				
Response to Miss Parts under 37 C	ing					
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Date August 12, 2005						
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HNE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Evelyn Gorylez

Attorney Docket No. 40-003300US Client Ref. No. 01-003300US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffery A. Whiteford, et al.

Application No.: 10/656,911

Filed: September 4, 2003

For: ORIENTED NANOSTRUCTURES

AND METHODS OF PREPARING

Confirmation No.

Examiner: Unassigned

Art Unit: 1774

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also, enclosed is a copy of International Search Report for corresponding International Application No. PCT/US03/27845. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Jeffery A. Whiteford, et al. Application No.: 10/656,911

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

augla P. Hour Pho

Angela P. Horne, Ph.D.

Reg. No. 41.079

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PTO/SB/08A (08-03)

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ONFORMATION DISCLOSURE	Filing Date	September 4, 2003	
ATEMENT BY APPLICANT	First Named Inventor	Jeffery A. Whiteford	
	Group Art Unit	1774	
4	Examiner Name	Unassigned	
(use as many sheets as necessary)	Attorney Docket Number	40-003300US	
DEMP	Date Submitted	August 12, 2005	

		U.S. Patent Doo	ument	Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
	1	5,849,215		Gin et al.	12-15-1998	
	2	2003/0142944	A1	Sundar et al.	07-31-2003	
	3	6,733,946	B2	Kumacheva et al.	05-11-2004	
	4	6,762,237	B2	Glatkowski et al.	07-13-2004	
	5	6,812,272	B2	Fischer	11-02-2004	
	6	6,876,796	B2	Garito et al.	04-05-2005	

FOREIG					N PATENT DOCUMEN	Date of Publication Pages, Columns, Lines,		
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т
			<u>.</u>	_				

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